

Draft

123/524/20052006

STATE WATER RESOURCES CONTROL BOARD

ORDER NO. 2006-?

STATEWIDE GENERAL WASTE DISCHARGE REQUIREMENTS FOR WASTEWATER COLLECTION SYSTEM AGENCIES

The State Water Resources Control Board, hereinafter referred to as "State Water Board", finds that:

1. All federal and state agencies, municipalities, counties, districts, and other public entities that own or operate sanitary sewer systems greater than one mile in length or any facilities that collect and/or convey untreated or partially treated wastewater to a publicly-owned treatment facility in the State of California are required to comply with the terms of this Order. Such entities are hereinafter referred to as "Enrollees".
2. Sanitary sewer overflows (SSOs) are overflows from ~~collection-sanitary sewer~~ systems of domestic wastewater, as well as industrial and commercial wastewater, depending on the pattern of land uses in the area served by the wastewater collection sanitary sewer system ~~tributary area~~. SSOs often contain high levels of suspended solids, pathogenic organisms, toxic pollutants, nutrients, oxygen-demanding organic compounds, oil and grease and other pollutants. SSOs may cause a public nuisance, particularly when raw untreated wastewater is discharged to areas with high public exposure, such as streets or surface waters used for drinking, fishing, or body contact recreation. SSOs may pollute surface or ground waters, threaten public health, adversely affect aquatic life, and impair the recreational use and aesthetic enjoyment of surface waters.
3. ~~Wastewater collection~~ Sanitary sewer systems experience periodic failures and are known to leak, resulting in discharges that may affect waters of the state. There are many factors (including factors related to geology, design, construction methods and materials, age of the system, population growth, and system operation and maintenance), which affect the likelihood of an SSO. A proactive approach that requires ~~wastewater collection system owners and operators~~ Enrollees to ensure a system-wide operation, maintenance, and management plan is in place will reduce the number and frequency of SSOs within the state. This approach will in turn decrease the risk to human health and the environment caused by SSOs.
4. Major causes of SSOs include: grease blockages, root blockages, sewer line flood damage, manhole structure failures, vandalism, pump station mechanical failures, power outages, excessive storm or ground water inflow/infiltration,

debris blockages, sanitary sewer system age and construction material failures, lack of proper operation and maintenance, insufficient capacity and contractor-caused damages. Many SSOs are preventable with adequate and appropriate facilities, source control measures and operation and maintenance of the sanitary sewer system.

SEWER SYSTEM MANAGEMENT PLANS

5. To facilitate proper funding and management of sanitary sewer systems, each ~~sanitary sewer system owner or operator~~Enrollee must develop and implement a system-specific Sewer System Management Plan (SSMP). To be effective, SSMPs must include provisions to provide proper and ~~cost-effective~~efficient management, operation, and maintenance of sanitary sewer systems, while taking into consideration risk management and cost benefit analysis. Additionally, an SSMP must contain a spill response plan that establishes standard procedures for immediate response to an SSO in a manner designed to minimize water quality impacts and potential nuisance conditions.
6. Many local public agencies in California have already developed SSMPs and implemented measures to reduce SSOs. These entities can build upon their existing efforts to ~~comply establish a comprehensive SSMP consistent with these~~ this requirementsOrder. Others, however, still require technical assistance and, in some cases, funding to improve ~~collection-sanitary sewer~~ system operation and maintenance in order to reduce SSOs.
7. SSMP certification by technically qualified and experienced persons can provide a useful and cost-effective means for ensuring that SSMPs are developed and implemented appropriately.
8. It is the State Water Board's ~~desire-intent~~ to gather additional information on the causes and sources of SSOs to augment existing information and to determine the full extent of SSOs and consequent public health and/or environmental impacts occurring in the State.
9. Both uniform SSO reporting and a centralized statewide electronic database are needed to collect information to allow the State and Regional Water Boards to effectively analyze the extent of SSOs statewide and their potential impacts on beneficial uses and public health. The monitoring and reporting program required by this Order and the attached Monitoring and Reporting Program No.???, are necessary to assure compliance with these waste discharge requirements (WDRs).
10. Information regarding SSOs must be provided to Regional Water Boards and other regulatory agencies in a timely manner and be made available to the public in a complete, concise, and timely fashion.
11. ~~Several of the Regional Water Boards are engaged in initiatives to address SSOs.~~—Some Regional Water Boards have issued ~~Waste Discharge~~

~~Requirements (WDRs) or WDRs that serve as~~ National Pollution Discharge Elimination System (NPDES) permits to sanitary sewer system owners/operators within their jurisdictions. This Order establishes minimum requirements to prevent SSOs. Although it is the State Water Board's intent that this Order be the primary regulatory mechanism for sanitary sewer ~~collection~~ systems statewide, Regional Water Boards may issue more stringent or more prescriptive WDRs for sanitary sewer systems~~there will be some instances when Regional Water Boards will need to be more stringent or prescriptive than this Order provides. Upon issuance or reissuance of a Regional Water Board's WDRs for a system subject to this Order, the Regional Water Board shall coordinate its requirements with stated requirements within this Order, to identify requirements that are more stringent, to remove requirements that are less stringent than this Order, and to provide consistency in reporting~~~~In those cases, this Order will not supersede a more specific or more stringent requirements contained in a WDR or NPDES permit issued by a Regional Water Board.~~

REGULATORY CONSIDERATIONS

12. California Water Code ~~Section~~section 13263 provides that the State Water Board may prescribe general WDRs for a category of discharges if the State Water Board finds or determines that:

- The discharges are produced by the same or similar operations
- The discharges involve the same or similar types of waste;
- The discharges require the same or similar treatment standards; and
- The discharges are more appropriately regulated under general discharge requirements than individual discharge requirements.

This Order establishes requirements for a class of operations, facilities, and discharges that are similar throughout the state.

13. The issuance of a general WDR to the Enrollees will:

- a) Reduce the administrative burden of issuing individual WDRs to each Enrollee;
- b) Provide for a unified statewide approach for the reporting and database tracking of SSOs;
- c) Establish consistent and uniform requirements for SSMP development and implementation;
- d) Provide statewide consistency in reporting; and
- e) Facilitate consistent enforcement for violations.

14. The beneficial uses of surface waters that can be impaired by SSOs include, but are not limited to, aquatic life, drinking water supply, body contact and non-contact recreation, and aesthetics. The beneficial uses of ground water that can be impaired include, but are not limited to, drinking water and agricultural supply. Surface and ground waters throughout the state support these uses to varying degrees.

15. The implementation of requirements set forth in this Order will ensure the reasonable protection of past, present, and probable future beneficial uses of water and the prevention of nuisance. The requirements implement the water quality control plans (Basin Plans) for each region and take into account the environmental characteristics of hydrographic units within the state. Additionally, the State Water Board has considered water quality conditions that could reasonably be achieved through the coordinated control of all factors that affect water quality in the area, costs associated with compliance with these requirements, the need for developing housing within California, and the need to develop and use recycled water.
16. The ~~federal~~Federal Clean Water Act largely prohibits any discharge of pollutants from a point source to ~~surface water~~waters of the United States except as authorized under an NPDES permit. In general, any point source discharge of sewage effluent to ~~surface water~~waters of the United States must comply with technology-based, secondary treatment standards, at a minimum, and any more stringent requirements necessary to meet applicable water quality standards and other requirements. Hence, the unpermitted discharge of ~~raw-untreated~~ wastewater from a ~~collection-sanitary sewer~~ system to ~~surface water~~waters of the United States is illegal under the Clean Water Act. In addition, many Basin Plans adopted by the Regional Water Boards contain discharge prohibitions that apply to the discharge of ~~raw~~-untreated wastewater. Finally, the California Water Code generally prohibits the discharge of waste to land prior to the filing of any required report of waste discharge and the subsequent issuance of either WDRs or a waiver of WDRs.
17. California Water Code section 13263 requires a water board to, after any necessary hearing, prescribe requirements as to the nature of any proposed discharge, existing discharge, or material change in an existing discharge. The requirements shall, among other things, take into consideration the need to prevent nuisance.
18. California Water Code section 13050, subdivision (m), defines nuisance as anything which meets all of the following requirements:
- a. Is injurious to health, or is indecent or offensive to the senses, or an obstruction to the free use of property, so as to interfere with the comfortable enjoyment of life or property.
 - b. Affects at the same time an entire community or neighborhood, or any considerable number of persons, although the extent of the annoyance or damage inflicted upon individuals may be unequal.
 - c. Occurs during, or as a result of, the treatment or disposal of wastes.
- 17.19. This Order is consistent with State Water Board Resolution No. 68-16 (State of Policy with Respect to Maintaining High Quality of Waters in California) in that the Order imposes conditions to prevent impacts to water quality, does not allow the degradation of water quality, will not unreasonably affect beneficial

uses of water, and will not result in water quality less than prescribed in State Water Board or Regional Water Board plans and policies.

~~18.20.~~ The action to adopt this General Order is exempt from the California Environmental Quality Act (Public Resources Code §21000 et seq.) because it is an action taken by a regulatory agency to assure the protection of the environment and the regulatory process involves procedures for protection of the environment. (Cal. Code Regs., tit. 14, §15308). In addition, the action to adopt this Order is exempt from CEQA pursuant to Cal.Code Regs., title 14, §15301 to the extent that it applies to existing sanitary sewer collection systems that constitute “existing facilities” as that term is used in Section 15301.

~~19.21.~~ The ~~attached Information Fact~~ Sheet, which is incorporated by reference in the Order, contains supplemental information that was also considered in establishing these requirements.

~~20.22.~~ The State Water Board has notified all affected public agencies and all known interested persons of the intent to prescribe general WDRs that require Enrollees to develop SSMPs and report all SSOs.

~~21.23.~~ The State Water Board conducted a public hearing on ~~January-February 498~~, 2006, to receive oral and written comments on the draft order. The State Water Board received and considered, at its May 3, 2006, meeting, additional public comments on substantial changes made to this WDR following the February 8, 2006, public hearing. The State Water Board has considered all comments pertaining to ~~the terms and condition of~~ this Order.

IT IS HEREBY ORDERED, that pursuant to California Water Code section 13263, the Enrollees, their agents, successors, and assigns, in order to meet the provisions contained in Division 7 of the California Water Code and regulations adopted hereunder, shall comply with the following:

A. DEFINITIONS

1. **Sanitary ~~Sewer-sewer Overflow-overflow (SSO)~~ - A sanitary sewer overflow (SSO) is a**Any overflow, spill, release, discharge or diversion of untreated wastewater from a sanitary sewer system. SSOs include:
 - (i) Overflows or releases of untreated wastewater that reach ~~surface waterwaters of the United States~~;
 - (ii) Overflows or releases of untreated wastewater that do not reach ~~surface waterwaters of the United States~~; and
 - (iii) Wastewater backups into buildings and on private property that are caused by blockages or flow conditions within the publicly owned portion of a sanitary sewer system.
2. **Sanitary ~~Sewer-sewer System-system~~ –** Any system of pipes, pump stations, sewer lines, or other conveyances, upstream of ~~the a wastewater treatment plant headworks~~ used to collect and convey wastewater ~~to the publicly owned treatment facility~~. Temporary storage and conveyance facilities (such as vaults, temporary piping, construction trenches, wet wells, impoundments, tanks, etc.) are considered to be part of the sanitary sewer system, and discharges into these temporary storage facilities ~~is are~~ not considered ~~an to be~~ SSOs.

For purposes of this Order, sanitary sewer system includes only those, which are owned by public agencies and where the collection system is comprised of more than one-mile of pipes or sewer lines.
3. **Enrollee - ~~Federal A federal and or~~ state agencies, municipalitiesmunicipality, countiescounty, districts, and other public entitiesentity that owns or operates a** sanitary sewer systems ~~or any facilitiesgreater than one-mile in length~~ that collect or convey untreated wastewater to a publicly-owned treatment facility in the State of California and that have submitted a complete and approved application for coverage under - this Order.
4. **SSO Reporting System –** Online spill reporting system that is hosted, controlled, and maintained by the State Water Board. The web address for this site is: ~~www---~~ waterboards.ca.gov (web address to be determined). This online database is maintained on a secure site and is controlled by unique usernames and passwords.
5. **Untreated wastewater –** Any volume of ~~untreated sewage, solids, or wastewater (from domestic, industrial, commercial or mixed sources)waste~~

discharged from the sanitary sewer system upstream of a wastewater treatment facility ~~plant head-works~~.

6. ~~Satellite Collection~~ collection System system – ~~Any~~ The portion, if any, of a sanitary sewer system owned or operated by a different public agency that the agency that owns and operates the public agency that owns or operates a sanitary sewer system, which is tributary to a wastewater treatment facility, which the sanitary sewer system is tributary to, owned or operated by a separate public agency

7. Nuisance - California Water Code section 13050, subdivision (m), defines nuisance as anything which meets all of the following requirements:

- a. Is injurious to health, or is indecent or offensive to the senses, or an obstruction to the free use of property, so as to interfere with the comfortable enjoyment of life or property.
- b. Affects at the same time an entire community or neighborhood, or any considerable number of persons, although the extent of the annoyance or damage inflicted upon individuals may be unequal.
- c. Occurs during, or as a result of, the treatment or disposal of wastes.

B. APPLICATION REQUIREMENTS

1. Deadlines for Application – All public agencies that currently own or operate sanitary sewer systems within the State of California, must apply for coverage under this General Order within three (3) months of the date of adoption of this Order. Additionally, public agencies that acquire or assume responsibility for operating sanitary sewer ~~(collection)~~ systems after the date of adoption of this Order must apply for coverage under this General Order at least three (3) months prior to operation of those facilities.
2. General Permit Application – In order to apply for permit coverage pursuant to this General Order, a legally authorized representative for each agency must submit a complete application package. Within thirty (30) days of this Order's adoption, State Water Board staff will send specific instructions on how to apply for coverage under this Order to all known public agencies, which own sanitary sewer systems that are greater than one mile in length. Agencies that do not receive notice may obtain applications and instructions online on the Water Board's website.
3. General Permit Coverage – Permit coverage will be in effect upon the completion and approval of a complete application package.

C. PROHIBITION

1. Any SSO that results in a discharge of untreated wastewater to waters of the United States is prohibited.
2. Any SSO that results in a discharge of untreated wastewater, which creates a nuisance as defined in California Water Code Section 13050(m) is prohibited.

D. PROVISIONS

1. The Enrollee must comply with all conditions of this Order. Any noncompliance with this Order constitutes a violation of the California Water Code and is grounds for enforcement action.
2. It is the intent of the State Water Board that ~~public~~ sanitary sewer systems be regulated in a manner consistent with this WDR. Nothing in this WDR shall be:
 - (i) Interpreted or applied in a manner inconsistent with the Federal Clean Water Act, or supersede a more specific or more stringent state or federal requirement in an existing permit, regulation, or administrative/judicial order or Consent Decree;
 - (ii) Interpreted or applied to authorize an SSO that is illegal under either the Clean Water Act, an applicable Basin Plan prohibition or water quality standard, or the California Water Code;
 - (iii) Interpreted or applied to prohibit a Regional Water Board from issuing an individual NPDES permit or WDR, superseding this WDR, for a wastewater applicable collection systems requirements, authorized under the Clean Water Act or California Water Code; or
 - (iv) Interpreted or applied to supersede any more specific or more stringent ~~requirement in WDRs~~ or ~~in an~~ enforcement order issued by a Regional Water Board.
3. The Enrollee shall take all feasible steps to eliminate SSOs. In the event that an SSO does occur, the Enrollee shall take all feasible steps to contain and mitigate the impacts of an SSO.
4. In the event of an SSO, the enrollee-Enrollee shall take all feasible steps to prevent untreated wastewater from discharging from storm drains into flood control channels or ~~surface waterwaters of the United States~~ by blocking the storm drainage system and by removing the wastewater from the storm drains.
5. All SSOs must be reported in accordance with Section ~~F-G~~ of this WDR.
6. In any enforcement action, the State and/or Regional Water Boards will consider the appropriate factors under the duly adopted State Water Board Enforcement Policy. And to the extent that it is not inconsistent with the Enforcement Policy, the State and/or Regional Water Boards must consider the Enrollee's efforts to contain, control, and ~~clean up-mitigate~~ SSOs ~~from its collection system~~ as part of their consideration of ~~the~~ California Water Code section 13327 ~~or 13385~~ factors.

In assessing these factors, the State and/or Regional Water Boards will also consider whether:

- (i) The Enrollee has complied with the requirements of this Order, including requirements for reporting and developing and implementing an SSMP;
 - (ii) The Enrollee can identify the cause or likely cause of the discharge event;
 - (iii) There were no feasible alternatives to the discharge, such as temporary storage or retention of untreated wastewater, reduction of inflow and infiltration, use of adequate backup equipment, collecting and hauling of untreated wastewater to a treatment facility, or an increase in the capacity of the system as necessary to contain the design storm event identified in the SSMP. It is inappropriate to consider the lack of feasible alternatives, if the Enrollee does not implement a periodic or continuing process to identify and correct problems.
 - (iv) The discharge was exceptional, unintentional, temporary, and caused by factors beyond the reasonable control of the Enrollee;
 - (v) The discharge could have been prevented by the exercise of reasonable control described in a certified SSMP for:
 - Proper management, operation and maintenance;
 - Adequate treatment facilities, ~~or~~ sanitary sewer system facilities, and/or components with an appropriate design capacity, to reasonably prevent SSOs (e.g., adequately enlarging treatment or collection facilities to accommodate growth, infiltration and inflow, etc...);
 - Preventive maintenance (including cleaning and fats, ~~Oils-oils,~~ and grease (FOG) control);
 - Installation of adequate backup equipment; and
 - ~~Adequate~~ inflow and infiltration prevention and control to the extent practicable.
 - ~~(vi) The spill was caused by a wet weather event that exceeded the design capacity of the collection system as documented in the SSMP.~~
 - ~~(vii)(vi)~~ The ~~collection-sanitary sewer~~ system design capacity is appropriate to reasonably prevent SSOs.
 - ~~(viii)(vii)~~ The Enrollee took all reasonable steps to stop and mitigate the impact of the discharge as soon as possible.
7. When a sanitary sewer overflow occurs, the Enrollee shall take all feasible steps and necessary remedial actions to 1) control or limit the volume of untreated wastewater discharged, 2) terminate the untreated wastewater discharge, and 3)

recover as much of the untreated wastewater discharged as possible for proper disposal, including any wash down water.

The Enrollee shall implement all remedial actions to the extent they may be applicable to the discharge and not in consistent with an emergency response plan, including the following:

- (i) Interception and rerouting of untreated wastewater flows around the wastewater line failure;
 - (ii) Vacuum truck recovery of sanitary sewer overflows and wash down water;
 - (iii) Cleanup of debris at the overflow site;
 - (iv) System modifications to prevent another SSO at the same location;
 - (v) Adequate sampling to determine the nature and impact of the release; and
 - (vi) Adequate public notification to protect the public from exposure to the SSO.
8. The Enrollee shall properly, manage, operate, and maintain all parts of the sanitary sewer system owned and-or operated by the Enrollee, and shall ensure that the system operators (including employees, contractors, or other agents) are adequately trained and possess adequate knowledge, skills, and abilities.
9. The Enrollee shall allocate adequate resources for the operation, maintenance, and repair of its sanitary sewer system, by establishing a proper rate structure, accounting mechanisms, and auditing procedures to ensure an adequate and auditable-measure of revenues and expenditures. These procedures must be in compliance with applicable existing-laws and regulations and comply with generally acceptable accounting practices.
10. The Enrollee shall provide adequate capacity to convey base flows and peak flows, including flows related to wet weather events. Capacity shall meet or exceed the design criteria as defined in the Enrollee's System Evaluation and Capacity Assurance Plan for all parts of the sanitary sewer system owned or operated by the Enrollee.
11. The Enrollee shall develop and implement a written Sewer System Management Plan (SSMP) and make it available to the State and/or Regional Water Board upon request. A copy of this document must be publicly available at the Enrollees office and/or available on the Internet. This SSMP must be adopted and-approved by the Enrollee's elected board at a public meeting.
12. In accordance with the California Business and Professions Code Sections 6735, 7835, and 7835.1, all engineering and geologic evaluations and judgments shall be performed by or under the direction of registered professionals competent and proficient in the fields' pertinent to the required activities. Specific elements of the SSMP that require professional evaluation and judgments. The SSMP shall be prepared by or under the direction of

appropriately qualified professionals, and shall bear the professional(s)' signature and stamp.

13. The ~~essential-mandatory~~ elements of the SSMP are specified below. If the Enrollee believes that any element of this section is not appropriate or applicable to the Enrollee's sanitary sewer system, the SSMP program does not need to address ~~that element~~, but must ~~contain an explanation~~ justify as to why that element is not applicable. The SSMP must be adopted by the deadlines listed in the SSMP Time Schedule, below.

Sewer System Management Plan (SSMP)

- (i) **Goals:** The goal of the SSMP is to provide a plan and schedule to properly manage, operate, and maintain all parts of the wastewater collection system. This will help reduce and prevent SSOs, as well as mitigate any SSOs that do occur.
- (ii) **Organization:** The SSMP must identify:
 - (a) The name of the responsible or authorized representative as detailed-described in Section ~~H-J~~ of this Order.
 - (b) The names and telephone numbers for management, administrative, and maintenance positions responsible for implementing specific measures in the SSMP program. Include lines of authority as shown in an organization chart or similar document with a narrative explanation; and
 - (c) The chain of communication for reporting SSOs, from receipt of a complaint or other information, including the person responsible for reporting SSOs to the State and Regional Water Board and other agencies if applicable (such as County Health Officer, County Environmental Health Agency, Regional Water Board, and/or State Office of Emergency Services (OES)).
- (iii) **Legal Authority:** Each Enrollee must demonstrate, through collection system use ordinances, service agreements, or other legally binding procedures, that it possesses the necessary legal authority to:
 - (a) Prevent illicit discharges into its wastewater collection system (examples may include infiltration and inflow (I/I), stormwater, chemical dumping, unauthorized debris and cut roots, etc...);
 - (b) Require that sewers and connections be properly designed and constructed;
 - (c) Ensure access for maintenance, inspection, or repairs for portions of the lateral owned or maintained by the Public Agency;

- (d) Limit the discharge of fats, oils, and grease and other debris that may cause blockages, and
 - (e) Enforce any violation of its sewer ordinances.
- (iv) **Operation and Maintenance Program.** The SSMP must include those elements listed below that are appropriate and applicable to the Enrollee's system:
- (a) Maintain an up-to-date map of the sanitary sewer system, showing all gravity line segments and manholes, pumping facilities, pressure pipes and valves, and applicable stormwater conveyance facilities;
 - (b) Describe routine preventive operation and maintenance activities by staff and contractors; including a system for scheduling regular maintenance and cleaning of the sanitary sewer system with more frequent cleaning and maintenance targeted at known problem areas. The Preventative Maintenance (PM) program should have a system ~~of tracking to document scheduled and activities conducted activities~~, such as work orders;
 - (c) Develop rehabilitation and replacement plan to identify and prioritize system deficiencies and implement short-term and long-term rehabilitation actions to address each deficiency. The program should include regular visual and TV inspections of manholes and sewer pipes, and a system for ranking the condition of sewer pipes and scheduling rehabilitation. Rehabilitation and replacement should focus on sewer pipes that are at risk of collapse or prone to more frequent blockages due to pipe defects. Finally, the rehabilitation and replacement plan should include a capital improvement plan that addresses proper management and protection of the infrastructure assets. The plan shall include a time schedule for implementing the short and long term plans plus a schedule for developing the funds needed for the capital improvement plan;
 - (d) Provide training on a regular basis for staff in sanitary sewer system operations, maintenance, and require contractors to be appropriately trained; and
 - (e) Provide equipment and replacement part inventories, including identification of critical replacement parts.

(v) **Design and Performance Provisions:**

- (a) Design and construction standards and specifications for the installation of new sewer systems, pump stations and other appurtenances; and for the rehabilitation and repair of existing sewer systems; and
- (b) Procedures and standards for inspecting and testing the installation of new sewers, pumps, and other appurtenances and for rehabilitation and repair projects.

(vi) **Overflow Emergency Response Plan** - Each ~~enrollee~~ Enrollee shall develop and implement an overflow emergency response plan that identifies measures to protect public health and the environment. At a minimum, this plan ~~should~~ must include the following:

- (a) Proper notification procedures so that the primary responders and regulatory agencies are informed of all SSOs in a timely manner;
- (b) A program to ensure appropriate response to all overflows;
- (c) Procedures to ensure prompt notification to appropriate regulatory agencies and other potentially affected entities (e.g. health agencies, regional water boards, water suppliers, etc...) of all SSOs that potentially affect public health or reach the waters of the State in accordance with the MRP. All SSOs shall be reported in accordance with this MRP, the California Water Code, other State Law, and other applicable Regional Water Board WDR or NPDES permit requirements. The SSMP should identify the officials who will receive immediate notification;
- (d) Procedures to ensure that appropriate staff and contractor personnel are aware of and follow the Emergency Response Plan and are appropriately trained;
- (e) Procedures to address emergency operations, such as traffic and crowd control and other necessary response activities; and
- (f) A program to ensure that all reasonable steps are taken to contain untreated wastewater and prevent discharge of untreated wastewater to ~~surface water~~ waters of the United States and minimize or correct any adverse impact on the environment resulting from the SSOs, including such accelerated or additional monitoring as may be necessary to determine the nature and impact of the discharge.

(vii) **Fats, Oils, and Grease (FOG) Control Program:** Each Enrollee shall

evaluate its service area to determine whether a FOG control program is needed. If an Enrollee determines that a FOG program is not needed, the Enrollee must provide justification for why it is not needed. If FOG is found to be a problem, the Enrollee must prepare and implement a FOG source control program to reduce the amount of these substances discharged to the sanitary sewer system. This plan shall include the following as appropriate:

- (a) An implementation plan and schedule for a public education outreach program that promotes proper disposal of FOG;
- (b) A plan and schedule for the disposal of FOG generated within the sanitary sewer system service area. This may include a list of acceptable disposal facilities and/or additional facilities needed to adequately dispose of FOG generated within a sanitary sewer system service area;
- (c) The legal authority to prohibit discharges to the system and identify measures to prevent SSOs and blockages caused by FOG;
- (d) Requirements to install grease removal devices (such as traps or interceptors) design standards for the removal devices, maintenance requirements, BMP requirements, record keeping and reporting requirements;
- (e) Authority to inspect grease producing facilities, enforcement authorities, and whether the Enrollee has sufficient staff to inspect and enforce the FOG ordinance;
- (f) An identification of sewer system sections subject to FOG blockages and establish a cleaning maintenance schedule for each section; and
- (g) Development and implementation of source control measures, for all sources of FOG discharged to the sewer system, for each section identified in (f) above.

(viii) **System Evaluation and Capacity Assurance Plan:** The Enrollee shall prepare and implement a capital improvement plan (CIP) that will provide hydraulic capacity of key sewer system elements for dry weather peak flow conditions, as well as the appropriate design storm or wet weather event. At a minimum, the plan must include:

- (a) **Evaluation:** ~~Steps~~ Actions needed to evaluate those portions of the sanitary sewer system, ~~which that~~ are experiencing or contributing to an SSO discharge caused by hydraulic deficiency. The evaluation must provide estimates of peak flows (including

flows from SSOs that escape from the system) associated with conditions similar to those causing overflow events, estimates of the capacity of key system components, hydraulic deficiencies (including components of the system with limiting capacity) and the major sources that contribute to the peak flows associated with overflow events;

- (b) **Design Criteria:** Where design criteria do not exist or are deficient, ~~a program to assess the current capacity of the sanitary sewer system owned or operated by the Enrollee, undertake the evaluation identified in "a" above to establish appropriate design criteria;~~ and

- (c) **Capacity Enhancement Measures:** The steps needed to establish a short- and long-term capital improvement plan (CIP) to address identified hydraulic deficiencies including prioritization, alternatives analysis, and schedules. The CIP may include increases in pipe size, I/I reduction programs, increases and redundancy in pumping capacity, and storage facilities. The CIP shall include an implementation schedule and shall identify sources of funding.

- (d) **Schedule:** The Enrollee shall develop a schedule of completion dates for all portions of the capital improvement program developed in (a-c) above. This schedule shall be reviewed and updated consistent with the SSMP review and update requirements as described in Section D. 14.

- (ix) **Monitoring, Measurement, and Program Modifications:** The Enrollee shall:

- (a) Maintain relevant information that can be used to establish and prioritize appropriate SSMP activities;
- (b) Monitor the implementation and, where appropriate, measure the effectiveness of each element of the SSMP;
- (c) Assess the success of the preventative maintenance program;
- (d) Update program elements, as appropriate, based on monitoring or performance evaluations; and
- (e) Identify and illustrate SSO trends, including: frequency, location, and volume.

- (x) **SSMP Program Audits** - As part of the SSMP, the ~~permittee~~ Enrollee shall conduct periodic internal audits, appropriate to the size of the system and the number of SSOs. At a minimum, these audits must

occur every two years and a report must be prepared and kept on file. This audit ~~should~~ shall focus on evaluating the effectiveness of the SSMP ~~and and the~~ Enrollee's compliance with this the SSMP requirements identified in this subsection (D.13), including identification of any deficiencies in the SSMP and steps to correct them.

- (xi) **Communication Program** – The Enrollee shall communicate on a regular basis with the public on the development, implementation, and performance of its SSMP. The communication system shall provide the public the opportunity to provide input to the Enrollee as the program is developed and implemented.

The Enrollee shall also create a plan of communication with systems that are tributary and/or satellite to the Enrollee's sanitary sewer ~~collection~~ system.

14. Both the SSMP and the Enrollee's program to implement the SSMP must be certified by the Enrollee to be in compliance with the requirements set forth above ~~by the appropriate governing board~~ and must be presented to the Enrollee's elected board for approval at a public meeting. The Enrollee shall certify that the SSMP, and subparts thereof, are in compliance with this WDR within the time frames identified in the time schedule provided in ~~Finding subsection number D.15~~, below.

In order to complete this certification, the Enrollee's authorized representative must complete the certification portion in the Online SSO Database Questionnaire by checking the appropriate milestone box, printing and signing the automated form, and sending the form to:

State Water Resources Control Board
Division of Water Quality
Attn: SSO Program Manager
P.O. Box 100
Sacramento, CA 95812
~~Office of Statewide Initiatives~~
~~State Water Resources Control Board~~
~~P.O. Box 100~~
~~Sacramento, CA 95812~~

The SSMP must be updated every five (5) years, and must include any significant program changes. Re-certification by the governing Board of the ~~permittee~~ Enrollee is required in accordance with D.14 when significant updates to the SSMP are made. To complete the re-certification process, the Enrollee shall enter the data in the Online SSO Database and mail the form to the State Water Board, as described above.

15. The Enrollee shall comply with these requirements according to the following schedule. This time schedule does not supersede existing requirements or time schedules associated with other permits or regulatory requirements.

<u>Task and Associated Section</u>	<u>Completion Date</u>				
	<u>Population > 100,000</u>	<u>Population between 100,000 and 10,000</u>	<u>Population between 10,000 and 2,500</u>	<u>Population < 2,500</u>	
<u>Application for Permit Coverage</u> Section C	<u>3 months after WDR Adoption</u>	<u>3 months after WDR Adoption</u>	<u>3 months after WDR Adoption</u>	<u>3 months after WDR Adoption</u>	
<u>Reporting Program</u> Section G	<u>6 months after WDR Adoption</u>	<u>6 months after WDR Adoption</u>	<u>6 months after WDR Adoption</u>	<u>6 months after WDR Adoption</u>	
<u>SSMP Development Plan and Schedule</u> No specific Section	<u>9 months after WDR Adoption</u>	<u>12 months after WDR Adoption</u>	<u>15 months after WDR Adoption</u>	<u>18 months after WDR Adoption</u>	
<u>Goals and Organization Structure</u> Section D 13 (i) & (ii)	<u>12 months after WDR Adoption</u>	<u>12 months after WDR Adoption</u>	<u>18 months after WDR Adoption</u>	<u>18 months after WDR Adoption</u>	
<u>Overflow Emergency Response Program</u> Section D 13 (vi)	<u>24 months after WDR Adoption</u>	<u>30 months after WDR Adoption</u>	<u>36 months after WDR Adoption</u>	<u>39 months after WDR Adoption</u>	
<u>Legal Authority</u> Section D 13. (iii)	<u>24 months after WDR Adoption</u>	<u>30 months after WDR Adoption</u>	<u>36 months after WDR Adoption</u>	<u>39 months after WDR Adoption</u>	
<u>Operation and Maintenance Program</u> Section D 13 (iv)	<u>24 months after WDR Adoption</u>	<u>30 months after WDR Adoption</u>	<u>36 months after WDR Adoption</u>	<u>39 months after WDR Adoption</u>	
<u>Grease Control Program</u> Section D 13 (vii)	<u>24 months after WDR Adoption</u>	<u>30 months after WDR Adoption</u>	<u>36 months after WDR Adoption</u>	<u>39 months after WDR Adoption</u>	
<u>Design and Performance</u> Section D 13 (v)	<u>36 months after WDR Adoption</u>	<u>39 months after WDR Adoption</u>	<u>42 months after WDR Adoption</u>	<u>45 months after WDR Adoption</u>	
<u>System Evaluation and Capacity Assurance Plan</u> Section D 13 (viii)	<u>36 months after WDR Adoption</u>	<u>39 months after WDR Adoption</u>	<u>42 months after WDR Adoption</u>	<u>45 months after WDR Adoption</u>	
<u>Final SSMP, incorporating all of the SSMP requirements</u> Section D 13	<u>36 months after WDR Adoption</u>	<u>39 months after WDR Adoption</u>	<u>42 months after WDR Adoption</u>	<u>45 months after WDR Adoption</u>	

Sewer System Management Plan Time Schedule

~~DE~~. WDR and the certified SSMP AVAILABILITY

1. A copy of this WDR and the certified SSMP shall be maintained at appropriate locations (such as the Enrollee's offices, facilities, and/or Internet homepage) and shall be available to sanitary sewer system operating and maintenance personnel at all times.

~~EF~~. ENTRY AND INSPECTION

1. The Enrollee shall allow the State or Regional Board or ~~its~~their authorized representative, upon presentation of credentials and other documents as may be required by law, to:
 - a. Enter upon the Enrollee's premises where a regulated facility or activity is located or conducted, or where records are kept under the conditions of this Order;
 - b. Have access to and copy, at reasonable times, any records that must be kept under the conditions of this Order;
 - c. Inspect at reasonable times any facilities, equipment (including monitoring and control equipment), practices, or operations regulated or required under this Order; and
 - d. Sample or monitor at reasonable times, for the purposes of assuring compliance with this Order or as otherwise authorized by the California Water Code, any substances or parameters at any location.

~~FG~~. GENERAL MONITORING AND REPORTING REQUIREMENTS

1. The Enrollee shall furnish to the State or Regional Water Board, within a reasonable time, any information which the State or Regional Water Board may request to determine whether cause exists for modifying, revoking and reissuing, or terminating this Order. The Enrollee shall also furnish to the Executive Director of the State Water Board or Executive Officer of the applicable Regional Water Board, upon request, copies of records required to be kept by this Order.
2. The Enrollee shall comply with the attached Monitoring and Reporting Program **No.???** and future revisions thereto, as specified by the Executive Director. Monitoring results shall be reported at the intervals specified in Monitoring and Reporting Program **No.???**. Unless superseded by a specific enforcement Order for a specific Enrollee, these reporting requirements are intended to replace other mandatory routine written reports associated with SSOs.
3. All ~~enrollees~~Enrollees must obtain SSO Database accounts and receive a "Username" and "Password" by registering through the California Integrated Water Quality System (CIWQS). These accounts will allow controlled and secure entry into the SSO Database. Additionally, within ~~30~~days of receiving an

account and prior to recording spills into the SSO Database, all ~~enrollees~~ Enrollees must complete the "Collection System Questionnaire", which collects pertinent information regarding a ~~Permittee's Enrollee's~~ collection system. The "Collection System Questionnaire" must be updated at least every 12 months.

4. Pursuant to Health and Safety Code section 5411.5, any person who, without regard to intent or negligence, causes or permits any untreated wastewater or other waste to be discharged in or on any waters of the State, or discharged in or deposited where it is, or probably will be, discharged in or on any surface waters of the State, as soon as that person has knowledge of the discharge, shall immediately notify the local health officer and the Regional Board of the discharge. Discharges of untreated wastewater to storm drains and drainage channels, whether man made or natural or concrete lined, shall be reported as required above.

All SSOs greater than 1,000 gallons discharged in or on any waters of the State, or discharged in or deposited where it is, or probably will be, discharged in or on any surface waters of the State shall also be reported to the Office of Emergency Services pursuant to California Water Code ~~Section~~ section 13271.

GH. CHANGE IN OWNERSHIP

1. This Order is not transferable to any person or party, except after notice to the Executive Director. The Enrollee shall submit this notice in writing at least 30 days in advance of any proposed transfer. The notice must include a written agreement between the existing and new Enrollee containing a specific date for the transfer of this Order's responsibility and coverage between the existing Enrollee and the new Enrollee. This agreement shall include an acknowledgement that the existing Enrollee is liable for violations up to the transfer date and that the new Enrollee is liable from the transfer date on.

HI. INCOMPLETE REPORTS

1. If an Enrollee becomes aware that it failed to submit any relevant facts in any report required under this Order, the Enrollee shall promptly submit such facts or information by formally amending the report in the Online SSO Database.

IJ. REPORT DECLARATION

1. All applications, reports, or information shall be signed and certified as follows:
 - (i) All reports required by this Order and other information required by the State or Regional Water Board shall be signed and certified by a person designated, for a municipality, state, federal or other public agency, by either a principal executive officer or ranking elected official, or by a duly authorized representative of that person, as described in paragraph (ii) of this provision. (For purposes of electronic reporting, an electronic

signature and accompanied certification, which is in compliance with the Online SSO database procedures, meets this certification requirement)

- (ii) An individual is a duly authorized representative only if:
 - (a) The authorization is made in writing by a person described in paragraph (i) of this provision; and
 - (b) The authorization specifies either an individual or a position having responsibility for the overall operation of the regulated facility or activity.

JK. CIVIL MONETARY REMEDIES FOR DISCHARGE VIOLATIONS

1. The California Water Code provides various enforcement options, including civil monetary remedies, for violations of this Order.
2. The California Water Code also provides that any person failing or refusing to furnish technical or monitoring program reports, as required under this Order, or falsifying any information provided in the technical or monitoring reports is subject to civil monetary penalties.

KL. SEVERABILITY

1. The provisions of this Order are severable, and if any provision of this Order, or the application of any provision of this Order to any circumstance, is held invalid, the application of such provision to other circumstances, and the remainder of this Order, shall not be affected thereby.
2. This order does not convey any property rights of any sort or any exclusive privileges. The requirements prescribed herein do not authorize the commission of any act causing injury to persons or property, nor protect the Enrollee from liability under federal, state or local laws, nor create a vested right for the Enrollee to continue the waste discharge.

CERTIFICATION

The undersigned Clerk to the Board does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the State Water Resources Control Board held on Month Day, 2006.

Clerk to the Board

Ordered by: _____

Dated: